IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| in re A | Application of |) | | |
|---------|---|-------------|-----------|---------------|
| | KIRSTEN L. VALLEY, et al. |) | Examiner: | Unassigned |
| Applic | cation No.: Unassigned |) | Art Unit: | Unassigned |
| Filed: | Herewith |) | | |
| For: | METHODS AND APPARATUS FOR ANCHORING AN OCCLUDING MEMBER |))) | PRELIMIN | ARY AMENDMENT |
| | C D | _) | | |

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Please enter the following preliminary amendment in this application.

IN THE CLAIMS:

Please cancel claims 19-49.

IN THE SPECIFICATION:

Please delete the entire first paragraph and insert the following instead:

-- This application is a continuation of application no. 09/114,307, filed July 13, 1998, which is a divisional patent application of U.S. patent application Serial No. 08/570,286, filed December 11, 1995, now issued as U.S. Patent No. 5,795,325, which is a continuation-in-part of Serial No. 08/486,216, filed June 7, 1995, now issued as U.S. Patent No. 5,766,151, which is a continuation-in-part of application of U.S. patent application Serial No. 08/282,192, filed July 28, 1994, now issued as U.S. Patent No. 5,584,803, which is a continuation-in-part of application Serial No. 08/162,742, filed December 3, 1993, now abandoned, which is a continuation-in-part of application Serial No. 08/123,411, filed September

17, 1993, now abandoned, which is a continuation-in-part of application Serial No. 07/991,188, filed December 15, 1992, now abandoned, which is a continuation-in-part of application Serial No. 07/730,559, filed July 16, 1991, which issued as U.S. Patent No. 5,370,685 on December 6, 1994. The complete disclosures of all the aforementioned related U.S. patent applications are hereby incorporated herein by reference for all purposes.--

Respectfully submitted,

Date January 12, 2001

len E. Hoekendijk Rog. No. 37,149

JENS E. HOEKENDIJK P.O. Box 4787 Burlingame, CA 94011-4787 (415) 412-3322

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|----------------------|---|-------------|----------------------------------|------------|
| KIRSTEN I | L. VALLEY, et al. |) | Examiner: | Unassigned |
| Application No.: U | Jnassigned |) | Art Unit: | Unassigned |
| Filed: Herewith | |) | | |
| FOR ANCI | S AND APPARATUS HORING AN NG MEMBER |))) | CHANGE OF CORRESPONDENCE ADDRESS | |

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Please change the correspondence address and telephone numbers for this application as follows:

JENS E. HOEKENDIJK Hoekendijk & Lynch LLP P.O. Box 4787 Burlingame, California 94011-4787

ph: (415) 412 3322 fax: (415) 753-3516

Respectfully submitted,

Date January 12, 2001

Jens E. Hoekendijk Reg. No. 36,815

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of |) |
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| KIRSTEN L. VALLEY, et al. |) Examiner: Unassigned |
| Application No.: Unassigned |) Art Unit: Unassigned |
| Filed: Herewith |) |
| For: METHODS AND APPARATUS FOR ANCHORING AN OCCLUDING MEMBER |) INFORMATION) <u>DISCLOSURE STATEMENT</u>) _) |

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to their duty under 37 C.F.R. 1.56 and 1.97, applicants wish to make the references cited on the accompanying form PTO-1449 of record herein. All citations were made of record in the parent application, Application No. 09/114,307, filed July 13, 1998. Accordingly, copies of these references are not attached with this Statement pursuant to 37 C.F.R. §1.98(d).

It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the

information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application. Please charge any additional fees or credit overpayment to Deposit Account No. 08-1510.

Respectfully submitted,

Date January 12, 2001

Jeps E. Hoekendijk, Reg/No. 37,149

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